

CASES* CONSIDERED DURING 2012

Type of Case	Pending from 2011 and Earlier	Received in 2012*	Total
Conduct	7	42	49
Practice	6	12	18
Conduct & Practice	4	4	8
Requests for Guidance	0	62	62
Total	17	120	137

Cases by Practice Area	Pending from 2011 and Earlier	Received in 2012*	Total
Casualty	5	29	34
Health	0	30	30
Life	3	25	28
Pension	9	36	45
Total	17	120	137

* Including requests for guidance

CASES CLOSED

Action by Individual ABCD Members	
Replied to requests for guidance	62
Disposition by Chairperson and Vice Chairpersons	
Dismissed	48
Dismissed with Guidance	1
Disposition by Whole ABCD After Investigation	
Dismissed	0
Dismissed with guidance	2
Recommended public reprimand	2
Recommended private reprimand	1
Recommended Suspension	2
Recommended Expulsion	0
Total Cases Closed (including requests for guidance)	118

CASES IN PROGRESS (AS OF 12/31/12)

Pending disposition	5
Pending hearing	2
Pending investigation	10
Cases suspended	2
Total Cases in Progress	19

Since its inception in 1992, the ABCD has completed its cases as follows:

Dispositions	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002
Dismissed	12	24	9	11	8	11	13	10	5	20	16
Dismissed with guidance	6	10	3	—	5	1	5	2	8	5	4
Counseled	—	2	8	1	6	2	5	—	2	3	2
Mediated	3	1	1	—	—	—	—	1	—	4	—
Recommended private reprimand	—	—	—	—	—	—	—	—	1	1	—
Recommended public discipline	—	1	2	—	3	—	1	—	3	—	—
Replied to requests for guidance	8	8	8	10	28	31	22	31	36	21	47
Total	29	46	31	22	50	45	46	44	55	54	69

Dispositions	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	Total
Dismissed	7	5	5	1	5	11	29	16	9	48	275
Dismissed with guidance	2	2	4	1	0	1	5	1	2	1	68
Counseled	4	1	4	3	1	2	0	0	0	2	48
Mediated	1	—	—	—	1	0	0	0	0	0	12
Recommended private reprimand	—	—	—	—	0	1	0	2	0	1	6
Recommended public discipline	1	—	2	1	1	3	2	3	2	4	29
Replied to requests for guidance	30	46	37	31	35	48	46	55	55	62	695
Total	45	54	52	37	43	66	82	77	68	118	1133