

THE KAISER COMMISSION ON Medicaid and the Uninsured

Table 1: Section 1115 Waivers Approved Since January 2001, Status as of July 2004

	Submitted	Approved	Imple- mented	Enroll- ment Closed	Key Features
AZ	9/20/01 1/31/03 (amendment)	12/12/01	11/1/01 10/1/02		Allows state to use SCHIP funds to expand eligibility for parents, for whom the state can cap enrollment, and to refinance existing (Medicaid-financed) childless adult coverage. An amendment for a small pilot premium assistance program is pending.
CA	12/19/00	1/25/02	Not Imple- mented		Allows state to use SCHIP funds to expand eligibility for parents. (Note: the state is currently developing a new waiver.)
CO	5/30/02	9/27/02	10/8/02	5/2/03	Allows state to use SCHIP funds to expand eligibility for pregnant women.
DC	11/03/99	3/7/02	2/3/03		Allows DC to redirect Disproportionate Share Hospital (DSH) funds to expand eligibility to childless adults between ages 50-64.
IL	2/15/02	9/13/02	Partially 10/1/02		Allows state to use Medicaid and SCHIP funds to expand eligibility for parents and to refinance some state-funded health programs. The state can provide premium assistance for private coverage with minimal benefit and no cost sharing benchmarks for some beneficiaries as an alternative to direct coverage, at the option of the beneficiary.
ME	2/22/02	9/13/02	Partially 10/1/02		Allows state to redirect allocated but unspent DSH funds to expand eligibility for childless adults.
MA	6/5/03 (amendment)	1/29/04	1/29/04		Allows state to cap enrollment for certain adults, including some adults with disabilities, some parents, and HIV-positive adults.
MI	3/4/03	1/16/04	1/16/04	7/1/04	Allows state to use SCHIP funds to expand eligibility to very low-income childless adults. Some of these adults were previously covered through a state-funded program. Adults have the choice of limited direct coverage or premium assistance for private coverage with minimal benefit and no cost sharing benchmarks.
NJ	7/15/02	1/31/03	3/1/03	3/1/03	Allows state to reduce benefits for some parents already enrolled in Medicaid to finance coverage for a closed group of 12,000 parents whose applications were pending approval when the state reduced parent eligibility in June 2002.
NM	4/3/02	8/23/02	Not Imple- mented		Allows state to use SCHIP funds to expand eligibility for parents and other adults, providing a limited benefit package (with premiums and cost sharing). An employer contribution is required, or individuals must pay both employer and employee costs.
NY	6/30/00	6/29/01	10/1/01		Allows state to expand eligibility for parents and to redirect DSH funds to cover other adults, providing nearly full benefits without premiums or cost sharing.
OR	6/6/02	10/15/02	Partially 11/1/02	Partially 7/1/04	Allows state to reduce benefits, increase cost sharing, and cap enrollment for previously eligible parents and other adults. Subject to availability of state funds, allows state to modestly expand eligibility for children and pregnant women; to more broadly expand coverage for parents and other adults, for whom enrollment can be capped; and to use SCHIP funds to refinance and modestly expand a preexisting state-funded premium assistance program.
TN	2/12/02	5/30/02	7/1/02		Allows state to significantly revise the preexisting TennCare waiver by restricting eligibility and benefits for some groups of children and adults. Waives the Early and Periodic Screening, Diagnostic, and Treatment benefit for optional children. (Note: the state is currently developing a new waiver.)
UT	11/26/01 11/8/02 (amendment)	2/8/02 5/30/03 (amendment)	7/1/02	Partially 11/13/03	Allows state to expand eligibility for parents and other adults, subject to an enrollment cap. They receive a benefit package limited to primary care services with an enrollment fee and copayments. Also permits increased cost sharing and benefit reductions for previously eligible lower-income parents. An amendment allows the state to provide premium assistance for private coverage with no benefit or cost sharing benchmarks to parents and other adults who would be eligible for the expansion but who have access to employer-sponsored insurance.
WA	11/2/01	2/3/04	Not Imple- mented		Allows state to charge premiums for some children previously eligible for Medicaid.

Source: "Section 1115 Waivers at a Glance: Summary of Recent Medicaid and SCHIP Waiver Activity," Kaiser Commission on Medicaid and the Uninsured, Updated.